Exhibit 1

W02-WEST:5DYB1\402529434.1 MDL No. 1917

Pursuant to Rule 33 of the Federal Rules of Civil Procedure, Defendants Samsung SDI America, Inc., Samsung SDI Co. Ltd., Samsung SDI (Malaysia) Sdn. Bhd., Samsung SDI Mexico S.A. De C.V., Samsung SDI Brasil Ltda., Shenzen Samsung SDI Co., Ltd., and Tianjin Samsung SDI Co., Ltd. (collectively, "Samsung SDI" or "the Samsung SDI Defendants") hereby respond to the First Set of Interrogatories propounded by the Direct Purchaser Plaintiffs ("Plaintiffs") as follows:

I.

GENERAL OBJECTIONS

- 1. Samsung SDI and its counsel are continuing their investigation of this matter. Samsung SDI's present responses are based on information known as of this time. Samsung makes these responses without prejudice to its right to supplement them, as necessary, based on subsequently acquired information or knowledge, whether gained through Samsung SDI's continued investigation or the investigation of others.
- 2. Samsung SDI objects to each interrogatory, definition, or instruction to the extent it seeks information outside the scope of discovery authorized by the Court's September 12, 2008 Order for Stay of Discovery and January 5, 2010 Order to Extend Limited Discovery Stay (collectively, the Court's "Discovery Stay Orders").
- 3. Samsung SDI objects to Plaintiffs' interrogatories, definitions and instructions to the extent that they seek the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that they are overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State.
- 4. Samsung SDI objects to each interrogatory, definition, or instruction to the extent it seeks information regarding conduct outside the applicable statute(s) of

limitations, on the grounds that such documents and information are neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

- 5. Samsung SDI objects to Plaintiffs' interrogatories on the grounds that the sixteen separate interrogatories and numerous sub-parts contained in the interrogatories constitute more than the allowable twenty-five interrogatories and thus exceed the limit permitted under Federal Rule of Civil Procedure 33(a).
- 6. In making these responses, Samsung SDI does not concede the relevancy or materiality of any of Plaintiffs' interrogatories; nor does Samsung SDI concede the relevancy or materiality of any of the subjects to which those interrogatories relate or refer.
- 7. To the extent any interrogatory, definition or instruction may be construed as calling for the disclosure of privileged or immune information, including, without limitation, information subject to the attorney-client privilege, common-interest privilege, work-product doctrine, joint defense privilege, and/or relating to confidential plea or settlement negotiations, and/or any other privilege or immunity from discovery, Samsung SDI hereby claims such privileges and immunities and objects to the disclosure of any documents or information subject thereto. Any disclosure of privileged or protected documents, materials, or information is inadvertent and not intended to waive those privileges or protections.
- 8. To the extent any interrogatory, definition or instruction may be construed as seeking the disclosure of confidential trade secrets, financial, commercial, strategic or otherwise proprietary or confidential information, Samsung SDI objects to the disclosure of any such documents or information. To the extent any such material is produced in this action, Samsung SDI reserves the right to appropriately designate it pursuant to the protective order in place in this action, and to seek such additional terms and protections as may be appropriate. Samsung SDI further objects to providing

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documents or information subject to a confidentiality agreement or other restrictions or to a protective order entered in another action or proceeding, except in accordance with such confidentiality agreements, restrictions or protective orders.

- 9. Samsung SDI objects to any interrogatory, definition or instruction that calls for the disclosure of information that would violate the legitimate privacy rights and expectations of Samsung SDI employees, directors, officers, affiliates or subsidiaries, both current and former, or other individuals, to the extent that such privacy rights or expectations are protected by law, contract, or public policy.
- 10. To the extent any interrogatory, definition or instruction may be construed as requiring Samsung SDI to characterize documents or their contents or to speculate as to what documents may or may not show, Samsung SDI objects to such interrogatory, definition or instruction as vague, ambiguous and calling for legal conclusions and speculation.
- 11. A response by Samsung SDI that it will produce non-privileged documents with information responsive to an interrogatory located in the course of a reasonable search is not a representation that documents in that category exist, nor is such a response (or the production of any documents in a particular category) a representation that Samsung SDI adopts, accepts, affirms or admits the assertions, contentions or definitions used or made in connection with the interrogatory.
- 12. Samsung SDI objects to each and every interrogatory, definition and instruction to the extent that it purports to impose burdens upon Samsung SDI that are not permitted by law, or seeks to impose greater obligations than those imposed under the Federal Rules of Civil Procedure, the Local Rules of the District Court of the Northern District of California, or any order of the Court.
- 13. Samsung SDI objects to each and every interrogatory, definition and instruction to the extent that it seeks documents or information the disclosure of which is prohibited by law, regulation, court order, or other authority of the foreign jurisdiction in

 which the documents or information are located, and/or to the extent such interrogatory, definition or instruction seeks discovery in contravention of principles of public policy or international comity.

- 14. Samsung SDI objects to the extent that any interrogatory, definition or instruction seeks documents that are not in the possession, custody or control of Samsung SDI. Samsung SDI further objects to the extent that any interrogatory, definition or instruction seeks documents or information in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from third parties or otherwise.
- 15. Samsung SDI objects to each and every interrogatory to the extent that it seeks information that is obtainable from some other source that is more convenient, less burdensome, or less expensive.
- 16. Samsung SDI objects to each and every interrogatory, definition and instruction to the extent that it calls for the creation of documents or data compilations that do not exist or that are not ordinarily kept in the normal course of business.
- 17. Samsung SDI objects to each and every interrogatory to the extent it is duplicative of or unreasonably cumulative to other discovery propounded and/or produced in this action.
- 18. Samsung SDI objects to each and every interrogatory, definition and instruction to the extent it assumes disputed facts or legal conclusions. Samsung SDI hereby denies any disputed facts or legal conclusions assumed by each interrogatory, definition and instruction. Any response or objection herein is without prejudice to this objection and Samsung SDI's right to dispute facts and legal conclusions assumed by the interrogatories, definitions and instructions.
- 19. Samsung SDI objects to each and every interrogatory, definition and instruction to the extent it seeks documents in contravention of Federal Rule of Criminal Procedure 6(e)(2) or other analogous foreign or domestic laws, regulations or orders.

20.	Samsung SDI objects to each and every interrogatory, definition and
instruction to the ex-	tent that it is overbroad, unduly burdensome, and oppressive.

21. Samsung SDI objects to each and every interrogatory, definition and instruction to the extent that it seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

I.

OBJECTIONS TO CERTAIN DEFINITIONS

In addition to its General Objections, Samsung SDI objects to certain of Plaintiffs' purported definitions as set forth below:

1. The term "Defendant" means defendants named in the Direct Purchaser Plaintiffs' Consolidated Amended Complaint and their present or former employees, officers, directors, agents, predecessors, successors, parents, subsidiaries, affiliates, joint ventures, or any other person acting on their behalf.

Objection: Samsung SDI objects that this purported definition is vague, ambiguous, overbroad, unintelligible, unduly burdensome and oppressive. Samsung SDI further objects to this definition as purporting to impose a greater burden on Samsung SDI than is otherwise permissible under the law, including without limitation the Federal Rules of Civil Procedure. Samsung SDI is incapable of providing information on behalf of persons and entities other than Samsung SDI. Samsung SDI further objects to this definition to the extent it calls for the disclosure of documents or information protected from discovery by the attorney-client privilege and/or work product doctrine.

3. The terms "You," "Your," and "Yourself" means defendant as defined herein.

Objection: Samsung SDI objects to these purported definitions on the same grounds as with respect to Plaintiffs' Definition No. 1, each of which objections is incorporated by this reference as though fully set forth herein.

4. The term "Document" includes all documents and electronically stored information as defined in Federal Rule of Civil Procedure 34(a). A draft or non-identical copy is a separate document within the meaning of this term.

Objection: Samsung SDI objects that this purported definition is overbroad, unduly burdensome and oppressive, including without limitation due to the inclusion of drafts in this definition. Samsung SDI further objects to this definition as purporting to impose a greater burden on Samsung SDI than is otherwise permissible under the law, including without limitation the Federal Rules of Civil Procedure.

5. The term "Employee" means any individual currently in the employ of, or at any time employed by, or acting as the agent of a defendant as defined herein.

Objection: Samsung SDI objects that this purported definition is vague, ambiguous, overbroad, unintelligible, unduly burdensome and oppressive. Samsung SDI further objects to this definition as purporting to impose a greater burden on Samsung SDI than is otherwise permissible under the law, including without limitation the Federal Rules of Civil Procedure. Samsung SDI is incapable of providing information on behalf of persons and entities other than Samsung SDI. Samsung SDI further objects to this definition to the extent it calls for the disclosure of documents or information protected from discovery by the attorney-client privilege and/or work product doctrine.

6. The term "CRT" means cathode ray tube(s) and "CRT Products" means products containing cathode ray tubes.

Objection: Samsung SDI objects that these purported definitions are vague, ambiguous, overbroad, unduly burdensome and oppressive. Samsung SDI also objects to these definitions to the extent they seek information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

7. Unless otherwise noted, the term "Relevant Time Period" means the period from January 1, 1995 through the present.

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Objection: Samsung SDI objects to this purported definition to the extent it calls for information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

Samsung SDI further objects to this definition to the extent it purports to impose a greater burden on Samsung SDI than is otherwise permissible under the law, including without limitation the Federal Rules of Civil Procedure.

9. The term "Date" means the exact day, month and year, if ascertainable, or the best available approximation, including any relationship to other known events (designate whether exact or approximate).

Objection: Samsung SDI objects that this purported definition is vague, ambiguous, overbroad, unintelligible, unduly burdensome and oppressive. Samsung SDI further objects to this definition as purporting to impose a greater burden on Samsung SDI than is otherwise permissible under the law, including without limitation the Federal Rules of Civil Procedure.

II.

OBJECTIONS TO INSTRUCTIONS

In addition to its General Objections and Objections to Certain Definitions, Samsung SDI objects to Plaintiffs' purported instructions as follows:

1. When asked to identify a natural person, state the person's name, employer, position dates of employment/tenure, and home address for all times during the Relevant Time Period. If any of such information has changed during the relevant time period, specify the time period to which the information provided in your answer pertains.

Objection: Samsung SDI objects to this purported instruction on the grounds that it is overbroad, unduly burdensome, unreasonable and inconsistent with common usage of the term "identify." Samsung SDI further objects to this instruction to

the extent it calls for the disclosure of information that would violate the legitimate privacy rights and expectations of Samsung SDI's employees, directors, officers, affiliates, and subsidiaries, both current and former, and other individuals. Samsung SDI's current employees may be contacted through undersigned counsel for Samsung SDI. Samsung SDI further objects to this instruction as purporting to impose a greater burden on Samsung SDI than is otherwise permissible under the law, including without limitation the Federal Rules of Civil Procedure.

2. When asked to identify any entity other than a natural person, state the name and address of the principal office or headquarters. If any of the information has changed during the Relevant Time Period, specify the time period to which the information provided in your answer pertains.

Objection: Samsung SDI objects to this instruction on the grounds that it is overbroad, unduly burdensome, unreasonable and inconsistent with common usage of the term "identify." Samsung SDI further objects to this instruction as purporting to impose a greater burden on Samsung SDI than is otherwise permissible under the law, including without limitation the Federal Rules of Civil Procedure.

3. If the responding party elects to produce business records in response to an interrogatory pursuant to Federal Rule of Civil Procedure 33(d), the responding party shall produce the records as they are kept in the usual course of business or shall organize and label them to corresponding with the interrogatory. If the document is being produced in its native electronic format (allowing the document to retain its metadata), identify the document using its hash or other appropriate electronic identification and identify to the interrogatories to which the document is responsive. If the document is not being produced in electronic form, identify the document using the applicable bates numbers or specifically identify the type of document being produced (e.g., letter, memorandum, telegram, contract, invoice, etc.), its date and author(s), its custodian, and every person to whom such document or any copy thereof was given or sent. For all documents produced

pursuant to Rule 33(d), identify the name of the employee, officer, or agent certifying the documents as business records.

Objection: Samsung SDI objects to this instruction on the grounds that it is overbroad, unduly burdensome, unreasonable and oppressive. Samsung SDI further objects to this instruction as purporting to impose a greater burden on Samsung SDI than is otherwise permissible under the law, including without limitation the Federal Rules of Civil Procedure.

III.

RESPONSES TO INTERROGATORIES

Subject to the foregoing General Objections, Objections to Certain Definitions and Objections to Instructions, Samsung SDI hereby responds to Plaintiffs' individual interrogatories as follows:

Samsung SDI makes these responses in good faith to Plaintiffs' interrogatories as framed. Samsung SDI stands ready and willing to meet and confer at a mutually convenient and appropriate time and place to resolve such disputes as may exist between the parties regarding its responses herein.

INTERROGATORY NO. 1:

State the name, address, and relationship to You of each person who prepared or assisted in the preparation of the responses to these interrogatories. (Do not identify anyone who simply typed or reproduced the responses.)

RESPONSE TO INTERROGATORY NO. 1:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory to the extent that it seeks privileged or immune information, including without limitation information subject to the attorney-client privilege, common-interest privilege, work-product doctrine, joint defense privilege, and/or relating to confidential plea or settlement negotiations, and/or any other

privilege or immunity. Samsung SDI also objects to this interrogatory on the grounds that it seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it calls for the disclosure of information that would violate the legitimate privacy rights and expectations of Samsung SDI employees, directors, officers, affiliates, and subsidiaries, both current and former, and other individuals.

Subject to and without limiting each of these objections, Samsung SDI responds that its responses to Plaintiff's interrogatories were prepared by Samsung SDI's attorneys, Sheppard, Mullin, Richter & Hampton LLP, with the assistance of Yongtae Kim, Senior Manager, Samsung SDI Co., Ltd.

INTERROGATORY NO. 2:

Identify each current and former employee who has or had any managerial responsibility for recommending, reviewing, setting or approving prices, bids, quotes, or rebates for Your CRT and/or CRT Products during the Relevant Time Period. For each person identified, include his or her name, address, title, location, the division or unit of the company where he or she worked, and a description of his or her responsibilities throughout the Relevant Time Period.

RESPONSE TO INTERROGATORY NO. 2:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory to the extent that it seeks privileged or immune information, including without limitation information subject to the attorney-client privilege, common-interest privilege, work-product doctrine, joint defense privilege, and/or relating to confidential plea or settlement negotiations, and/or any other privilege or immunity. Samsung SDI also objects to this interrogatory to the extent it calls for the disclosure of information that would violate the legitimate privacy rights and

expectations of Samsung employees, directors, officers, affiliates, and subsidiaries, both current and former, and other individuals. Samsung SDI further objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the phrase "any managerial responsibility" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State.

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the course of a reasonable search, including without limitation, as to documents produced to date, SDCRT-0001846-1858.

INTERROGATORY NO. 3:

Identify each employee with pricing authority who attended any trade association during the Relevant Time Period relating to CRT and/or CRT Products and state with respect to each employee:

- (a) the trade association attended;
- (b) the dates of attendance;
- (c) any offices, chairs or committee positions held in each of the trade associations; and

(d) the dates which those offices, chairs or committee positions were held.

RESPONSE TO INTERROGATORY NO. 3:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the phrase "pricing authority" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise. Samsung SDI further objects to this interrogatory on the grounds that it is overbroad, unduly burdensome, and oppressive, and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the

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course of a reasonable search.

INTERROGATORY NO. 4:

Identify each actual or proposed agreement between You and any producer of CRT and/or CRT Products, including the named defendants in this coordinated proceeding, relating to prices, pricing, production or inventory levels of CRT and/or CRT Products during the relevant time period. Agreements shall include drafts. For every such actual or proposed agreement state:

- (a) the identity of the participants and all persons with knowledge thereof;
 - (b) when such agreement was entered into;
 - (c) where such agreement was entered into;
 - (d) the terms of such agreement, and
- (e) when, how and which of your officers, directors or employees discovered the existence of such agreement.

RESPONSE TO INTERROGATORY NO. 4:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory to the extent that it seeks privileged or immune information, including without limitation information subject to the attorney-client privilege, common-interest privilege, work-product doctrine, joint defense privilege, and/or relating to confidential plea or settlement negotiations, and/or any other privilege or immunity. Samsung SDI further objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the phrase "actual or proposed agreement" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims

or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it 2 seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on 4 5 the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably 6 calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI 8 also objects to this interrogatory to the extent it seeks information or documents in the 10 possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise. Samsung SDI further objects to this interrogatory on the grounds that it is overbroad, unduly burdensome, and 12 13 oppressive, and seeks documents and information that are neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible 14 evidence. 15

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the course of a reasonable search.

INTERROGATORY NO. 5:

Identify any meeting or communication between You and other producers of CRT and/or CRT Products during the Relevant Time Period, including the named Defendants in this coordinated proceeding, regarding CRT and/or CRT Product pricing, price increase announcements, terms or conditions of sales, profit margins or market share, production levels, inventory, customers, auctions, reverse auctions, dynamic bidding events, or sales, and for each such meeting or communication:

- provide the date and location of the meeting or communication; (a)
- (b) identify the person(s) who initiated, called, organized, attended or

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participated in the meeting or communication;

- (c) describe the subject matter discussed and any information you provided or received;
- (d) describe every action taken by you as a result of the meeting or communication; and
- (e) identify all persons with knowledge relating to the meeting or communication.

RESPONSE TO INTERROGATORY NO. 5:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory to the extent that it seeks privileged or immune information, including without limitation information subject to the attorney-client privilege, common-interest privilege, work-product doctrine, joint defense privilege, and/or relating to confidential plea or settlement negotiations, and/or any other privilege or immunity. Samsung SDI further objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the phrase "actual or proposed agreement" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the

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1	United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI	
2	also objects to this interrogatory to the extent it seeks information or documents in the	
3	possession, custody or control of Plaintiffs, or that are equally or more readily available to	
4	Plaintiffs from other defendants, third parties or otherwise. Samsung SDI further objects	
5	to this interrogatory on the grounds that it is overbroad, unduly burdensome, and	
6	oppressive, and seeks documents and information that are neither relevant to any claims of	
7	defenses in this litigation nor reasonably calculated to lead to the discovery of admissible	
8	evidence.	
9	Subject to and without limiting each of these objections, Samsung SDI will	
10	produce and identify non-privileged documents with responsive information located in the	
11	course of a reasonable search, including without limitation, as to documents produced to	
12	date, SDCRT-0002417-7660.	

INTERROGATORY NO. 6:

Identify each instance during the Relevant Time Period in which You or any other producer of CRT and/or CRT Products, including the named defendants in this coordinated proceeding, instituted a price increase or decrease for CRT and/or CRT Products, and for each such instance:

- (a) when such price increase or decrease was announced publicly;
- (b) when such price increase or decrease was implemented;
- (c) the amount of the price increase or decrease;
- (d) whether such price increase or decrease was withdrawn;
- (e) each person with responsibility for implementing such price increase or decrease or its withdrawal; and
- (f) any explanation given for such price increase or decrease or withdrawal.

RESPONSE TO INTERROGATORY NO. 6:

In addition to its General Objections, Objections to Certain Definitions and

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Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory to the extent that it seeks privileged or immune information, including without limitation information subject to the attorney-client privilege, common-interest privilege, work-product doctrine, joint defense privilege, and/or relating to confidential plea or settlement negotiations, and/or any other privilege or immunity. Samsung SDI further objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the phrase "instituted a price increase or decrease" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise. Samsung SDI further objects to this interrogatory on the grounds that it is overbroad, unduly burdensome, and oppressive, and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the

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course of a reasonable search.

<u>INTERROGATORY NO. 7</u>:

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Identify and describe all joint ventures, partnerships or other cooperative business relationships, during the Relevant Time Period, relating to CRT and/or CRT Products between You and any other CRT or CRT Products producer.

RESPONSE TO INTERROGATORY NO. 7:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the phrases "joint ventures", "partnerships" and "cooperative business relationships" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise. Samsung SDI further objects to this interrogatory on the grounds that it is overbroad, unduly burdensome, and oppressive, and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence, including without limitation information as to Samsung SDI's non-CRT operations.

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the course of a reasonable search.

INTERROGATORY NO. 8:

Identify every channel used by You to sell, market, or distribute CRT and/or CRT Products during the Relevant Time Period. If You used different channels at different points within the Relevant Time Period, identify when You used each channel to sell, market, or distribute CRT and/or CRT Products.

RESPONSE TO INTERROGATORY NO. 8:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the term "channel" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and

beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this 2 interrogatory to the extent it seeks information or documents in the possession, custody or 3 control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise.

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the course of a reasonable search.

INTERROGATORY NO. 9:

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Identify every channel used by you to purchase CRT and/or CRT Products during the Relevant Time Period. If You used different channels at different points within the Relevant Time Period, identify when You used each channel to purchase CRT or CRT Products.

RESPONSE TO INTERROGATORY NO. 9:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the term "channel" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation,

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outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise. SDI further objects to this interrogatory on the grounds that it is overbroad, unduly burdensome, and oppressive, and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence, including without limitation information as to Samsung SDI's non-CRT operations.

INTERROGATORY NO. 10:

Identify the CRT and/or CRT Products that You manufactured or produced for each month within the Relevant Time Period, including the brand name, product number, and intended use.

RESPONSE TO INTERROGATORY NO. 10:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the phrase "intended use" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the

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discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise.

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the course of a reasonable search.

INTERROGATORY NO. 11:

Identify the CRT and/or CRT Products You sold, marketed, or distributed for each month within the Relevant Time Period, including the brand name, product number, and intended use.

RESPONSE TO INTERROGATORY NO. 11:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory on the grounds that it is vague and ambiguous, including without limitation as to the phrase "intended use" as used in this interrogatory. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the

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discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise.

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the course of a reasonable search.

INTERROGATORY NO. 12:

Provide Your sales of CRT and/or CRT Products to the United States and globally for each month from January 1, 1991 to the present. For each month during this period, state the volume of sales, the U.S. dollar value of sales, the unit sale price, the per unit cost to produce CRT and/or CRT Products, the per unit cost to distribute CRT and/or CRT Products (including overseas freight, tariff, customs, duties, inland freight, storage, insurance, dealer commissions), and the per unit profit earned.

RESPONSE TO INTERROGATORY NO. 12:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory as vague and ambiguous, including without limitation as to the term "cost". Samsung SDI also objects to this interrogatory to the extent it seeks information that is properly the subject of expert discovery, and is therefore premature, and/or to the extent that this interrogatory calls for the disclosure of documents or information constituting or containing non-testifying expert opinions protected from discovery pursuant to, *inter alia*, Fed. R. Civ. Proc. 26(b)(4)(B). Samsung SDI further objects to this interrogatory to the extent it calls for the creation of documents or data compilations that do not exist or are not ordinarily kept in the normal

course of business. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise. SDI further objects to this interrogatory on the grounds that it is overbroad, unduly burdensome, and oppressive, and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the course of a reasonable search, including without limitation, as to documents produced to date, SDCRT-0000039-0001162; SDCRT-0002027; and SDCRT-0002028-2416.

INTERROGATORY NO. 13:

If You offered different prices to different markets, or on a spot market versus contract basis, during the Relevant Time Period, so indicate in the statistical data supplied in response to Interrogatory No. 6.

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RESPONSE TO INTERROGATORY NO. 13:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory as vague and ambiguous, including without limitation as to the term "spot market". Samsung SDI further objects to this interrogatory to the extent it calls for the creation of documents or data compilations that do not exist or are not ordinarily kept in the normal course of business. Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise. SDI further objects to this interrogatory on the grounds that it is overbroad, unduly burdensome, and oppressive, and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without limiting each of these objections, Samsung SDI will produce and identify non-privileged documents with responsive information located in the course of a reasonable search.

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INTERROGATORY NO. 14:

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Provide Your aggregate purchases (in both number of units and revenue in U.S. dollars) of CRT and/or CRT Products for each month from January 1, 1991 to the present.

RESPONSE TO INTERROGATORY NO. 14:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory as vague and ambiguous, including without limitation as to the term "CRT Products". Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise. SDI further objects to this interrogatory on the grounds that it is overbroad, unduly burdensome, and oppressive, and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

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INTERROGATORY NO. 15:

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Provide Your aggregate purchases (in units and U.S. dollars) of CRT or CRT Products from each of the other named defendants in this coordinated proceeding, for the purpose of resale, for each month during from January 1, 1991 to the present.

RESPONSE TO INTERROGATORY NO. 15:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory as vague and ambiguous, including without limitation as to the term "CRT Products". Samsung SDI also objects to this interrogatory to the extent it seeks information regarding conduct outside the applicable statute(s) of limitations and/or continuing to the present on the grounds that it is overbroad, unduly burdensome, oppressive and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI further objects to this interrogatory to the extent it seeks the discovery of information regarding the sale of CRTs or finished products containing CRTs outside of the United States and unrelated to United States commerce, on the grounds that it is overbroad, unduly burdensome, irrelevant, and not reasonably calculated to lead to the discovery of admissible evidence. Such sales are beyond the scope of this litigation, outside the subject-matter jurisdiction of the antitrust laws of the United States, and beyond the jurisdiction or reach of the laws of any State. Samsung SDI also objects to this interrogatory to the extent it seeks information or documents in the possession, custody or control of Plaintiffs, or that are equally or more readily available to Plaintiffs from other defendants, third parties or otherwise. SDI further objects to this interrogatory on the grounds that it is overbroad, unduly burdensome, and oppressive, and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence.

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INTERROGATORY NO. 16:

State whether any documents or information responsive to this set of interrogatories were destroyed, discarded, erased, deleted, purged, or otherwise lost. If Your answer is in any way in the affirmative:

- (a) describe in detail the contents of each such document or information and the date it was destroyed, discarded, erased, deleted, purged or lost;
- (b) identify each person who had any role or responsibility in destroying, discarding, erasing, purging, deleting or losing of each such document or information; and
- (c) describe in detail the circumstances under which each such document or information was destroyed, discarded, erased, deleted, purged, or lost.

RESPONSE TO INTERROGATORY NO. 16:

In addition to its General Objections, Objections to Certain Definitions and Objections to Instructions, each of which is incorporated by this reference as though fully set forth herein, Samsung SDI objects to this interrogatory to the extent that it seeks privileged or immune information, including without limitation information subject to the attorney-client privilege, common-interest privilege, work-product doctrine, joint defense privilege, and/or relating to confidential plea or settlement negotiations, and/or any other privilege or immunity. SDI further objects to this interrogatory on the grounds that it is grossly overbroad, unduly burdensome, unreasonable and oppressive, and seeks information that is neither relevant to any claims or defenses in this litigation nor reasonably calculated to lead to the discovery of admissible evidence. Samsung SDI objects to this interrogatory as an improper attempt to impose burdens upon Samsung SDI that are not permitted by law, and greater obligations than those imposed under the Federal Rules of Civil Procedure and the Local Rules of the District Court of the Northern District of California.

Subject to and without limiting each of these objections, Samsung SDI responds that it has complied with its obligations under the Federal Rules of Civil

1	Procedure to take reasonable steps to preserv	re potentially relevant information in	
2	connection with Plaintiffs' actions.		
3	3		
4	4 DATED: May 12, 2010		
5	5 SHEPPARI	O, MULLIN, RICHTER & HAMPTON LLP	
6			
7	7 By	/s/ Michael W. Scarborough	
8		MICHAEL W. SCARBOROUGH	
9	9	Attorneys for Defendants	
10	0	SAMSUNG SDI AMERICA, INC., SAMSUNG SDI CO., LTD.,	
11	$1 \parallel$	SAMSUNG SDI (MALAYSIA) SDN. BHD.,	
12	2	SAMSUNG SDI MEXICO S.A. DE C.V., SAMSUNG SDI BRASIL LTDA.,	
13	3	SHENZEN SAMSUNG SDI CO., LTD. and TIANJIN SAMSUNG SDI CO., LTD.	
14	4	THINGIN BRINDONG BEI CO., ETE.	
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		29- AMSUNG SDI DEFENDANTS' RESPONSES TO DIRECT	

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VERIFICATION I, Yongtae Kim, am a Senior Manager, Samsung SDI Co., Ltd., and am authorized to make this Verification on Samsung SDI's behalf. I have read the attached Samsung SDI Defendants' Responses to Direct Purchaser Plaintiffs' First Set of Interrogatories, and know its contents. I am informed and believe that the matters and things stated therein are true, and upon that ground allege that the matters and things stated therein are true. I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct. Executed on May 12, 2010, at Yongin-si, Korea. SAMSUNG SDI DEFENDANTS' RESPONSES TO DIRECT PLAINTIFFS' FIRST SET OF INTERROGATORIES W02-WEST:5DYB1\402529434.1 MDL No. 1917

Exhibit 2

Case 4:07-cv-05944-JST Document 787-1 Filed 10/15/10 Page 34 of 72 Filed 09/12/ Case 3:07-cv-0594 Document 379 Page 1 of 13 Counsel Listed on Signature Block 2 UNITED STATES DISTRICT COURT 3 NORTHERN DISTRICT OF CALIFORNIA, SAN FRANCISCO DIVISION 4 5 IN RE: CATHODE RAY TUBE (CRT) Case No. C07-5944 SC 6 ANTITRUST LITIGATION MDL No. 1917 STIPULATION AND (PROPOSED) 8 This Document Relates to: ORDER FOR LIMITED DISCOVERY **STAY ALL ACTIONS** 10 11 12 WHEREAS these consolidated civil cases arise from an alleged conspiracy in 13 violation of the Sherman Act to fix the prices of Cathode Ray Tubes ("CRTs") and finished 14 products containing CRTs ("CRT products"); 15 WHEREAS there is an ongoing criminal grand jury investigation involving the products at issue in this case; 17 WHEREAS the United States has filed a Motion for a Limited Stay of Discovery; 18 WHEREAS the parties have met and conferred on the scope of a limited discovery 19 stay; 20 PLAINTIFFS, DEFENDANTS, AND THE UNITED STATES, BY AND 21 THROUGH THEIR UNDERSIGNED COUNSEL, HEREBY STIPULATE AND AGREE 22 AS FOLLOWS: 23 1. This Stipulation and Order shall limit discovery in these consolidated cases during the six (6) month period following entry of the Stipulation and Order by the Court, 24 25 Any reference to products containing CRTs is not intended to have any bearing on the legal question of 26

Any reference to products containing CRTs is not intended to have any bearing on the legal question of whether purchasers of those products are proper class members. By agreeing to provide certain discovery of CRT products by way of this stipulation, the parties agree that defendants have not waived their right to contest further discovery of documents and information relating to CRT products.

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unless extended by the Court upon motion.

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During the limited discovery stay, no discovery shall be conducted in this 2. 3 || case (including, without limitation, document requests, interrogatories, requests to admit, or depositions) that reflects, refers to, or relates to any understandings, agreements, contacts, meetings, or communications, between or among any manufacturers of CRTs or CRT products, relating to the sale, pricing, production, volume, market share, customers, 7 || capacity, or distribution of CRTs or CRT products.

- During the limited discovery stay, no discovery shall be conducted in this 3. case (including, without limitation, document requests, interrogatories, requests to admit, or depositions) that reflects, refers to, or relates to grand jury proceedings concerning CRTs or CRT products, including any party's or witness's communications with the United States, 12 || or with the grand jury investigating CRTs or CRT products, except by the order of the Court upon good cause shown and consistent with governing law.
- To the extent consistent with the above, the following discovery is permitted 4. 15 during the limited discovery stay:
 - plaintiffs are entitled to seek document discovery from third party organizations, including trade associations, that collect market information about CRTs and CRT products, limited to the subjects set forth in this paragraph 4;
 - the undersigned defendants shall produce documents sufficient to show b. the dollar and/or unit amount of purchases and/or sales of CRTs and/or CRT products in the United States by defendants from or to third parties, from or to other defendants, or by or between a defendant's subsidiaries, joint ventures, or affiliates, and either documents sufficient to show, or written answers disclosing, the identity of the undersigned defendants' customers and/or distributors of CRTs and/or CRT products in the United States;
 - the undersigned defendants shall produce documents sufficient to show c.

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the dollar and/or unit amount of sales of CRTs and/or CRT products in the United States to putative class members;

- d. plaintiffs are entitled to seek discovery of defendants' (including their subsidiaries, joint ventures, and affiliates) production capacity, capacity utilization, production costs, inventory levels, sales volumes, product lines, profitability, competitive position, market share, sales terms and conditions, costs, process, and shipments for CRTs or CRT products; the rules and procedures governing such discovery are set forth in paragraph 12.
- e. the undersigned defendants shall produce either documents sufficient to show, or written answers disclosing, the identities of persons in positions of management or control of defendants' respective CRT operations, including any directors, officers, managing agents and employees; discovery may seek narrative answers, which include the names, positions, dates of employment, tenure and addresses for each person identified during the class period;
- f. the undersigned defendants shall produce either documents sufficient to show, or written answers disclosing, the storage, location, retention, destruction or identity of relevant corporate records; and
- g. to the extent that any defendant takes the position that no discovery should go forward against it because the Court lacks personal jurisdiction over that defendant, plaintiffs shall be allowed to seek discovery relating to the issue of personal jurisdiction over that defendant, but notwithstanding the above, that defendant reserves all objections to all discovery against it on any subject. Defendants who intend to assert such a personal jurisdiction defense shall serve a short statement explaining the basis for their position by October 15, 2008. Neither executing this Stipulation and Order nor complying with its

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terms, including, but not limited to, serving the short statement referenced herein shall constitute a waiver of an undersigned defendant's jurisdictional defense.

- 5. After the filing of consolidated amended complaints and the resolution of motions to dismiss, class certification discovery is permitted, except as prohibited by the other terms of this Stipulation and Order.
- 6. This Stipulation and Order does not prohibit the service of interrogatories, requests for admissions, requests for production of documents, or third party document subpoenas, as set forth in paragraph 4(a) above, except to the extent that such discovery requires the production of discovery which is stayed hereunder. No deposition discovery, discovery of emails or email searches shall take place during the stay period.
- 7. All formal discovery requests shall be served on the United States at least three weeks before the discovery is due to be produced. Any party and/or the United States may object to discovery on the basis that the requested discovery seeks information or documents prohibited by this Stipulation and Order.
- 8. Any party and/or the United States can request a modification of this Stipulation and Order upon a showing of good cause.
- 9. The United States shall report to the Court on the status of the grand jury investigation and/or file a motion with the Court to extend the stay by January 30, 2009. On March 6, 2009, the Court shall conduct a Status Conference and/or hear any motion to extend the stay.
- 10. No undersigned defendant who has agreed to produce discovery pursuant to paragraph 4 above shall move for a stay of that agreed-to discovery on any grounds, including under *Bell Atlantic Corp. v. Twombly*, 127 S. Ct. 1955 (2007). All defendants reserve their rights to argue for a stay of any other discovery on any grounds.
- 11. On June 11, 2008, plaintiffs provided to defendants a list of those defendant entities that had previously been named in the direct and indirect purchaser complaints. By September 15, 2008, each defendant shall confirm the proper names of the listed entities

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that were involved in its CRT business. To the extent the previously filed complaints erroneously named an entity that was not in the CRT business and/or did not use the correct name for an entity, defendants shall so advise and provide the correct name for such entity. By September 30, 2008, plaintiffs shall inform each defendant whether plaintiffs intend to name the defendant in the consolidated amended complaints. Those defendants who will not be named in the consolidated amended complaints shall have no discovery obligations pursuant to this Stipulation and Order.

- Paragraph 4 of this Stipulation and Order shall constitute a request for 12. production of documents by plaintiffs' counsel, consistent with, and governed by, the Federal Rules of Civil Procedure (including the definition of "possession, custody, or control"), on all defendants for production of documents sufficient to show the information requested under paragraph 4(b-f) above. Attached hereto as Appendix A is a description of the agreements that some of the undersigned defendants have reached about discovery which they will produce pursuant to paragraph 4(d) above. All of the discovery that the undersigned defendants have agreed to produce pursuant to paragraph 4(d) above, as set forth in Appendix A, as well as the information required by paragraph 4(b), (c), (e) and (f), shall be produced by the undersigned defendants, without any objection, by November 14, 18 || 2008. If plaintiffs seek any other discovery pursuant to paragraph 4 against the undersigned defendants, defendants reserve all objections to such additional discovery. Defendants who have not signed this stipulation reserve all objections to any discovery under paragraph 4 above against them and plaintiffs reserve all rights to seek discovery against such defendants consistent with this Stipulation and Order. Any non-signing defendant who 23 || intends to oppose any discovery under paragraph 4 above shall file their written objections to such discovery by October 15, 2008. Any defendant who has not made an agreement to produce documents in response to paragraph 4(d) above, as set forth in Appendix A, shall file its objections to such paragraph 4(d) discovery by October 15, 2008.
 - Direct purchaser and indirect purchaser plaintiffs shall file consolidated 13. amended complaints by March 16, 2009.

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Case 3:07-cv-0594 C Filed 09/12/208 Document 379 During the term of this Stipulation and Order, the relevant time period for 14. discovery allowed hereunder shall extend back no further than the year 2000 for all parties, to the extent defendants possess responsive information extending back to the year 2000. This paragraph is without prejudice to the position of any party as to what the relevant discovery period is upon the lifting of the stay and the commencement of full discovery. After the plaintiffs' consolidated amended complaints are filed, the parties 15. shall meet and confer about a schedule for motions to dismiss. Defendants may join this Stipulation and Order after the date of its execution 16. by notifying plaintiffs in writing of their intention to do so. Dated: September 11, 2008 Email: jkessler@dl.com Email: pvictor@dl.com New York, NY 10019 Facsimile: (212) 259-7013 STEVEN A. REISS (pro hac vice)

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/s/ Jeffrey L. Kessler JEFFREY L. KESSLER (pro hac vice) A. PAUL VICTOR (pro hac vice) EVA W. COLE (pro hac vice) Email: ecole@di.com DEWEY & LEBOEUF LLP 1301 Avenue of the Americas Telephone: (212) 259-8000

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Attorneys for Defendants Panasonic Corporation of North America, MT Picture

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1		Disp Corj	poration of America	(NY) (defunct), MT
2		Pict	ure Display Co., Ltd. tric Industrial Co.	and Matsushita
3		Lieu	eret gramstriat CV.	
4		By:	/s/ Gary Halling RY L. HALLING, Ba	or No. 66087
5		ghal	ling@sheppardmulling. L. MCGINNIS,	n.com Reg No. 05788
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	Case 3:07-cv-05944	Document 379	Filed 09/12/2	Page 8 of 13	
1 2 3 4 5 6		JOE Ema JOS: Ema GIB One San Tele Faci	/s/ Joel S. Sanders L S. SANDERS iil: jsanders@gibsond HUA HESS iil: jhess@gibsondun SON, DUNN & CRU Montgomery Street, Francisco, CA 94104 sphone: (415) 393-82 smile: (415) 986-530	n.com JTCHER Suite 3100 4 00	
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16		l ele Fac	ephone: (202) 783-08 simile: (202) 383-66	10	
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	STIPULATION AND IPROPOSE	DJ ORDER FOR LIMITE	D DISCOVERY STAY		7

	Case 3:07-cv-0594	Document 379 Filed 09/12/28 Page 9 of 13
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26		Electronic Devices (USA), Inc.
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	Case 3:07-cv-05944	Document 379	Filed 09/12/2	Page 10 of 13
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		SA	VERI & SAVERI II	NC.
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8			aintiffs	
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17		Pu	rchaser Plaintiffs	
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26		At	torneys for United St	ates
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	Case 3:07-cv-05944	Document 379	Filed 09/12/2	Page 11 of 13
1		PROPOSI	ED ORDER	
2	PURSUANT TO S	TIPULATION, IT	IS SO ORDERED.	
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4	Dated: Sept. 12 '08		S ANOSAT	of the state
5			Honorable Samua UNITED STATES	DISTRICT JUDGE
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Appendix A to Stipulation and [Proposed] Order for Limited Discovery Stay

Entities	Agreement to Produce the Following Discovery Pursuant to Paragraph 4(d) of Stipulation and [Proposed] Order for Limited Discovery Stay
Matsushita Electric Industrial Co., Ltd.; MT Picture Display Co., Ltd.; MT Picture Display Corporation of America (NY) (defunct); Panasonic Corporation of North America	(1) Consolidated results for CRT divisions and subsidiaries, which set forth certain sales and profit numbers for both domestic and overseas CRT subsidiaries.
	(2) Cumulative P/Ls on an annual basis for CRT divisions and subsidiaries, which include, among other things, the following types of information: (i) production quantities; (ii) sales quantities; (iii) various types of cost and profit information, including information on certain costs which are characterized in the documents as variable costs and fixed expenses.
	(3) Capacity and capacity utilization for CRT factories.
Samsung SDI America, Inc.; Samsung SDI Co., Ltd.	(1) Publicly available English language annual reports and audited financial statements for the CRT business, available from at least 2001.
	(2) Summary report materials in several different formats, but primarily in chart format with some explanatory text, primarily in Korean, and containing what appear to be the kinds of materials that would be displayed at executive level strategy meetings, including production, market share, and capacity information, among other subjects, for the CRT business.

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Entities	Agreement to Produce the Following Discovery Pursuant to Paragraph 4(d) of Stipulation and [Proposed] Order for Limited Discovery Stay
Chunghwa Picture Tubes, Ltd.	(1) Publicly available annual reports and audited financial statements. These are available from 2002 (there may be others available before that time).
	(2) Presentations made at quarterly investor relations conferences.
	(3) Third-party reports regarding CRTs.
Philips Electronics North America Corporation	(1) Public annual reports.
	(2) Public financial statements for the top line entities.
	(3) Capacity information regarding Philips' former CRT plants as of the date of divestiture in 2001.

Exhibit 3

Case 3:07-cv-05944 Filed 02/05/ Document 425 Counsel Listed on Signature Block 1 2 3 UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA 4 5 SAN FRANCISCO DIVISION 6 No. C07-5944 SC 7 MDL No. 1917 8 IN RE: CATHODE RAY TUBE (CRT) Date: March 6, 2009 ANTITRUST LITIGATION Time: TBD 9 Court: Hon. Samuel Conti 10 This Document Related to All Cases 11 12 13 14 STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY 15 16 WHEREAS the Court, on September 12, 2008, entered an Order pursuant to Stipulation, granting a Limited Stay of Discovery for six (6) months, which will expire on March 12, 2009 17 18 ("September 12, 2008 Stay Order"); 19 WHEREAS there is an ongoing criminal grand jury investigation involving the products 20 at issue in this case; 21 WHEREAS the September 12, 2008 Stay Order required the United States to report to the Court on the status of the grand jury investigation and/or file a motion with the Court to extend 22 23 the stay by January 30, 2009; 24 WHEREAS the parties have met and conferred and agreed to request modification and 25 extension of the September 12, 2008 Stay Order; 26 PLAINTIFFS, DEFENDANTS, AND THE UNITED STATES, BY AND THROUGH THEIR UNDERSIGNED COUNSEL, HEREBY STIPULATE AND AGREE AS FOLLOWS: 27 28 STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY Case No. C07-5944 SC

Case 3:07-cv-0594

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- 1. This Stipulation and Order shall extend the September 12, 2008 Stay Order until 1 September 11, 2009 ("Stay Period"), unless further extended by the Court upon motion for good 2 cause shown.
 - 2. That Paragraph 6 of the September 12, 2008 Stay Order shall be modified and replaced in its entirety with the following new Paragraph 6:
 - This Stipulation and Order does not prohibit the service of interrogatories, (a) requests for admissions, requests for production of documents, or third party document subpoenas, as set forth in paragraph 4(a) above, except to the extent that such discovery requires the production of discovery which is stayed hereunder. No discovery of emails or email searches shall take place during the Stay Period.
 - No deposition discovery may be taken until September 12, 2009. Thereafter, until January 4, 2010, no deposition discovery may be taken, except that depositions may be taken of defendants' customers or suppliers, or their employees, provided in any case that the deponent is not a defendant or a subsidiary or affiliate of a defendant, or an employee, agent, or former employee of any of them. Such deposition subpoenas may include requests for documents to be produced by the deponent at the deposition, provided that no document requests may request the identification of documents produced in response to a grand jury subpoena or the production of documents disclosing the contents of the witness' testimony, if any, before the grand jury or communications with the United States relating to the grand jury proceedings.
 - 3. Upon termination of the Stay Period, each defendant who has appeared in this case and produced documents to the grand jury shall produce to the other parties (to the extent they or their claims have not been dismissed) all documents produced to the grand jury without a discovery request. Every 90 days thereafter each served defendant who has appeared in this case and produced documents to the grand jury shall produce to the other parties (to the extent they or their claims have not been dismissed), on a rolling basis, all documents produced to the grand ury the preceding 90 days.

STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY Case No. C07-5944 SC

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Document 425

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Page 3 of 8

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Case 3:07-cv-0594

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STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY Case No. C07-5944 SC

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STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY Case No. C07-5944 SC Case 3:07-cv-0594

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Purchaser Plaintiffs By: /s/Lidia Maher LIDIA MAHER (222253)

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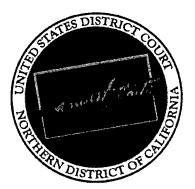
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STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY Case No. C07-5944 SC

	Case 3:07-cv-05944-SC	Document 425	Filed 02/05/2009	Page 8 of 8
1		PROPOSED OR	DER	
2	PURSUANT TO STIPULA	TION, IT IS SO OR	DERED.	
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5	Dated:	Ho	norable Samuel Conti	
6		UN	NITED STATES DIST	RICT JUDGE
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28	STIPULATION AND [PROPOSED] ORD Case No. C07-5944 SC	ER TO EXTEND LIMIT	ED DISCOVERY STAY	
	Case No. C07-5944 SC	,		

Exhibit 4

Case3:07-cv- 44-SC Document590 Filed01/05 Page1 of 8 Counsel Listed on Signature Block 1 2 3 4 5 6 7 UNITED STATES DISTRICT COURT 8 FOR THE NORTHERN DISTRICT OF CALIFORNIA 9 10 SAN FRANCISCO DIVISION 11 No. M-07-5944 SC MDL No. 1917 12 IN RE: CATHODE RAY TUBE (CRT) ANTITRUST LITIGATION 13 Court: Hon. Samuel Conti 14 15 This Document Related to All Cases 16 17 18 19 STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY 20 21 WHEREAS the Court, on September 12, 2008, entered an Order pursuant to Stipulation, granting a Limited Stay of Discovery for six (6) months, which was to expire on March 12, 2009 22 23 ("September 12, 2008 Stay Order"); WHEREAS the Court, on February 5, 2009, entered an Order pursuant to Stipulation 24 25 modifying and extending the September 12, 2008 Stay Order until September 11, 2009, with limitations on deposition discovery continuing until January 4, 2010 ("February 5, 2009 Stay 26 27 Order"); WHEREAS on June 8, 2009, Special Master Charles A. Legge, entered an Order further 28 STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY Case No. M-07-5944 SC

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extending the February 5, 2009 Stay Order, "until such time as the Court has issued its decision(s) on the various motions to dismiss that were filed on May 18, 2009 ("June 8, 2009 Stay Order");

WHEREAS there is an ongoing criminal grand jury investigation involving the products at issue in this case;

WHEREAS the parties have met and conferred and agreed to request modification and extension of the September 12, 2008, February 5, 2009, and June 8, 2009 Stay Orders;

PLAINTIFFS, DEFENDANTS, AND THE UNITED STATES, BY AND THROUGH THEIR UNDERSIGNED COUNSEL, HEREBY STIPULATE AND AGREE AS FOLLOWS:

That Paragraph 3 of the September 12, 2008 Stay Order shall be modified and replaced in its entirety with the following new Paragraph 3:

During the pendency of the grand jury proceedings and any resulting criminal trials, no discovery shall be conducted in this case (including, without limitation, document requests, interrogatories, requests to admit, or depositions) that reflects, refers to, or relates to grand jury proceedings concerning CRTs or CRT products, including any party's or witness's communications with the United States, or with any grand jury investigating CRTs or CRT products, except by the order of the Court upon good cause shown and consistent with governing law.

That Paragraph 5 of the June 8, 2009 Stay Order shall be modified and that Paragraph 2(b) of the February 5, 2009 Stay Order shall be modified and replaced in its entirety with the following new Paragraph 2(b):

The Stay Period, as defined in paragraph 1 of the February 5, 2009 Stay Order, shall be extended until March 8, 2010. Moreover, no deposition discovery may be taken until November 1, 2010, with the following exception: Beginning on March 8, 2010, depositions may be taken of defendants' customers or suppliers, or their employees, provided in any case that the deponent is not a defendant or a subsidiary or affiliate of a defendant, or an employee, agent, or former employee of any of them. Such deposition subpoenas may include requests for documents to be produced by the deponent at the

STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY Case No. M-07-5944 SC 2

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deposition, provided that no document requests may request the production of documents disclosing the contents of the witness' testimony, if any, before the grand jury or communications with the United States that reflect, refer to, or relate to the grand jury proceedings.

5		
6	IT IS SO STIPULATED.	
7	Dated: December 22, 2009	By: /s/ Jeffrey L. Kessler
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STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY Case No. M-07-5944 SC 3

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`	Case3:07-cv-Q44-SC Document590 Filed01/05Q Page5 of 8
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STIPULATION AND [PROPOSED] ORDER TO EXTEND LIMITED DISCOVERY STAY Case No. M-07-5944 SC 5

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٠	Case3:07-cv-Q44-SC Document590 Filed01/05Q Page8 of 8
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25	PURSUANT TO STIPULATION, IT IS SO ORDERED.
26	
27	Dated: January 5, 2010
28	Honorab. UNITED GE
	STIPULATION AND [PROPOSED] ORDER TO EXTEND LIM. Case No. M-07-5944 SC 8
	Case 110. 191-07-33-4- SC 8 —

Exhibit 5

CaseM:07-cv-01827-SI Document1493 Filed01/21/10 Page1 of 2

IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA

IN RE: TFT-LCD (FLAT PANEL) ANTITRUST LITIGATION

This Order Relates to:

ALL CASES

No. M 07-1827 SI

MDL. No. 1827

ORDER GRANTING IN PART AND DENYING IN PART DIRECT PLAINTIFFS' OBJECTIONS TO SPECIAL MASTER'S REPORT AND RECOMMENDATION REGARDING TRANSLATIONS; ADOPTING REPORT AND RECOMMENDATION

On January 21, 2010, the Court heard argument on the direct purchaser plaintiffs' objections to the Special Master's December 14, 2009 Report and Recommendation Re: Direct Purchaser Plaintiffs' and Indirect Purchaser Plaintiffs' Motion to Compel Production of Translations. Pursuant to Pretrial Order No. 4, the Court reviews the Special Master's conclusions of law *de novo* and factual findings for clear error.

With regard to those translations that were prepared at the direction of counsel for Toshiba, LG, Sharp, and Chi Mei, the Court agrees with the Special Master that those translations constitute opinion work product. See Sporck v. Peil, 759 F.2d 312, 315-16 (3d Cir. 1985). For those translations that were not sent to the Department of Justice, such as those prepared by the Toshiba entities, there has been no waiver of the attorney work product protection. As to those translations that were provided to the Department of Justice, even if there was a waiver, plaintiffs are nevertheless not entitled to those translations because the May 27, 2008 Joint Recommendation and Order re Stay of Discovery prohibits all discovery that "refer, reflect or relate to any party's or witness' communications with the United States" Docket No. 631 ¶ 3. Accordingly, the Court DENIES the direct purchaser plaintiffs'

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For the Northern District of California

United States District Court

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objections as to translations prepared at the direction of counsel for Toshiba, LG, Sharp, and Chi Mei (Docket No. 1431) and ADOPTS the Report and Recommendation (Docket No. 1420) insofar as it relates to those translations.

With regard to translations, if any, that were prepared by Samsung, Hitachi, AU Optronics, HannStar, Chunghwa Picture Tubes, Epson, and Sanyo, there has been no showing that the translations were prepared at the direction of counsel. To the extent that any of these defendants provided translations to the Department of Justice, discovery of those translations would be barred by the May 27, 2008 order. However, if these defendants possess translations that were not provided to the Department of Justice, such translations are discoverable absent a showing that they are protected as attorney work product. Accordingly, as to each of the defendants Samsung, Hitachi, AU Optronics, HannStar, Chunghwa Picture Tubes, Epson, and Sanyo, the Court GRANTS plaintiffs' motion and orders that any such translations be produced, unless within 15 days of the filing date of this order, such defendant files a declaration with this Court (1) stating a sufficient factual basis for any assertion of attorney work product, and (2) showing good cause why the factual basis for an assertion of privilege was not made before Judge Smith in the first instance or in opposing the direct purchaser plaintiffs' motion in this Court. If any defendant files such a declaration, direct purchaser plaintiffs may file a response within 5 days, and the Court will take the matter under submission.

IT IS SO ORDERED.

Dated: January 21, 2010

United States District Judge

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Exhibit 6

Case 1:05-md-01717-JJF Document 62 Filed 05/02/06 Page 1 of 4

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

ADVANCED MICRO DEVICES, INC. and AMD INTERNATIONAL SALES & SERVICE, LTD.,)))
Plaintiffs,))
) C. A. No. 05-441 (JJF)
٧.))
INTEL CORPORATION and INTEL KABUSHIKI KAISHA,	
Defendants.	<i>)</i>)
IN RE:	
INTEL CORP. MICROPROCESSOR) MDL Docket No. 05-1717 (JJF)
ANTITRUST LITIGATION	
)
PHIL PAUL, on behalf of himself)
and all others similarly situated,) C.A. No. 05-485-JJF
Plaintiffs,) CONSOLIDATED ACTION
ν.)
v.	,)
INTEL CORPORATION,)
Defendant	<i>)</i> }

STIPULATION AND ORDER REGARDING ENGLISH TRANSLATIONS

The parties hereto, through their respective counsel of record and subject to the approval of the Court, hereby stipulate to the following regarding the use of translations of foreign language documents:

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- 1. In accordance with Local Rule 7.1.3(d), whenever a document, paper or testimony in a foreign language is included in any appendix or exhibit, or is cited from the record in any brief or motion, an English translation shall be included with the document, paper, or testimony. It shall not be necessary, prior to filing the English translation, to obtain approval from the Court or agreement from another party regarding the accuracy of the translation; however, any other party may dispute the correctness of the English translation in its responsive papers. If an English translation is submitted with a reply brief or other filing to which no response otherwise would be allowed, then any party may dispute the correctness of the translation by a short filing within two weeks or such different time period as to which the parties might stipulate or the Court might order.
- 2. The parties agree to comply with this Stipulation pending the Court's approval and entry of this order.

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Case 1:05-md-01717-JJF Document 62 Filed 05/02/06 Page 4 of 4

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IT IS SO ORDERED THIS _____ day of May, 2006.

United States District Judge